### Pathology of malignant colorectal polyps

Professor Neil A Shepherd Gloucester & Cheltenham, UK

BSG/ACP Two-Day Liver & GI Pathology Symposium
Weetwood Hall, Leeds
Friday, 7<sup>th</sup> December 2012





# A major influence on the workload of the GI pathologist.....



## Cancer Screening Programmes

**Bowel Cancer Screening Programmes** 





#### In the UK, it's not just England's BCSP.....





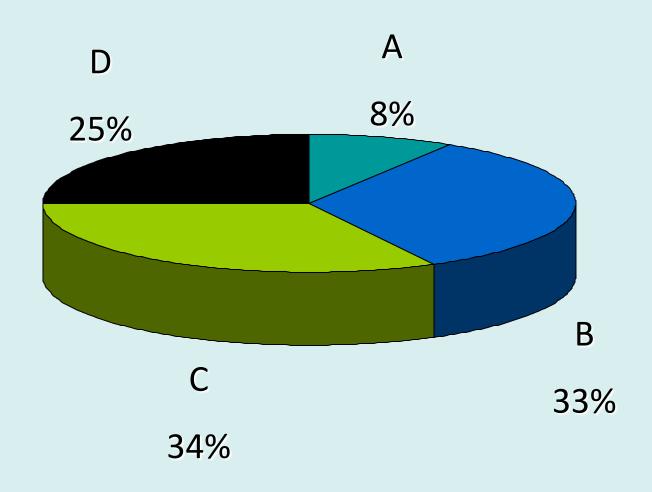




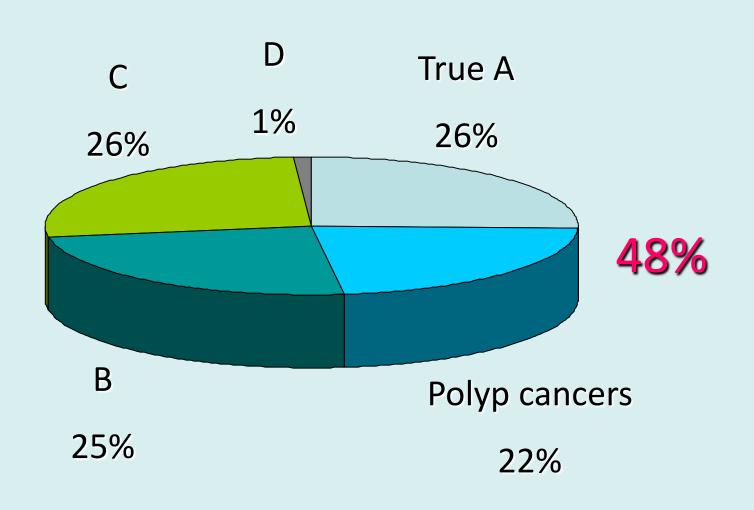




### **Dukes stage distribution for symptomatic cancer**



#### Dukes stage distribution for screen-detected cancers



### pT1 cancers in BCSP

NORTH EAST SHA	8
NORTH WEST SHA	42
EAST MIDLANDS SHA	41
WEST MIDLANDS SHA	30
EAST OF ENGLAND SHA	32
YORKSHIRE & HUMBER SHA	31
LONDON SHA	23
SOUTH EAST COAST SHA	17
SOUTH CENTRAL SHA	17
SOUTH WEST SHA	40
TOTAL NUMBER CANCERS	1710
TOTAL NUMBER pT1	281
PERCENTAGE pT1	16.4

BCSP 10,000 cancers 1,700 pT1s 10-20 per year per Centre





#### The three big issues in BCSP pathology

- serrated pathology & what do we do about it expected but not the amount nor the diagnostic difficulties
- polyp cancers (pT1 disease) & what we do about it expected but not the management difficulties
- the large adenomatous polyp of the sigmoid colon expected but not the amount nor the diagnostic difficulties





#### The three big issues in BCSP pathology

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#### The malignant polyp: pathological considerations

- is it really malignant?
- how common is this problem?
- when should we recommend resection after removal of a malignant polyp?





#### The malignant polyp: pathological considerations

is it really malignant?

can the endoscopist tell? can the pathologist tell?

- how common is this problem?
- when should we recommend resection after removal of a malignant polyp?





### The polyp harbouring malignancy....







Gloucestershire Cellular Pathology Laboratory



#### The malignant polyp: pathological considerations

is it really malignant?

can the endoscopist tell?

- BCSP QA experience
- BCSP pT1 polyp cancer audit
- BCSP polyp cancer double reporting recommendation



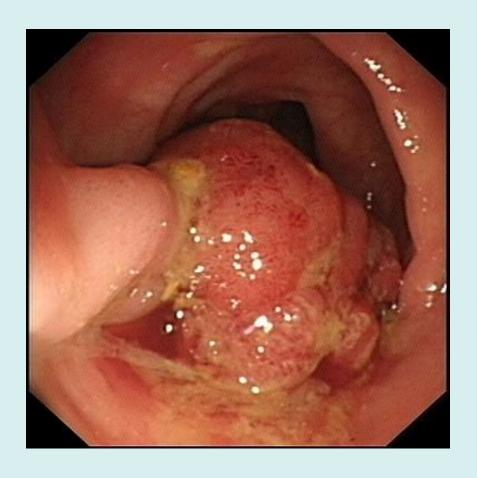


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#### The question

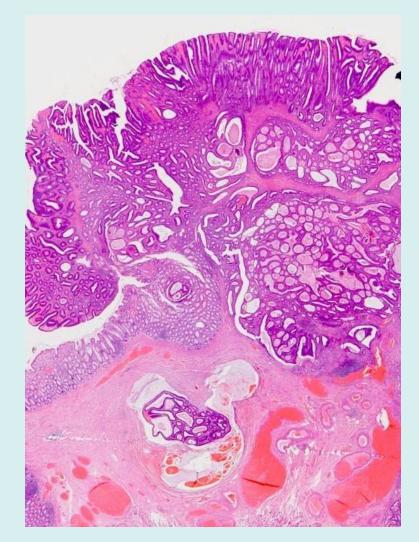
Is this cancer in the submucosa or is it the benign phenomenon of epithelial misplacement?





#### **Epithelial misplacement in adenomas**

- 85% in sigmoid colon
- unusual in rectum (unless there has been previous meddling)
- same epithelium as surface, accompanied by lamina propria, haemosiderin deposition
- what about misplaced epithelium at the diathermy margin?
- intense pathological mimicry of invasive cancer

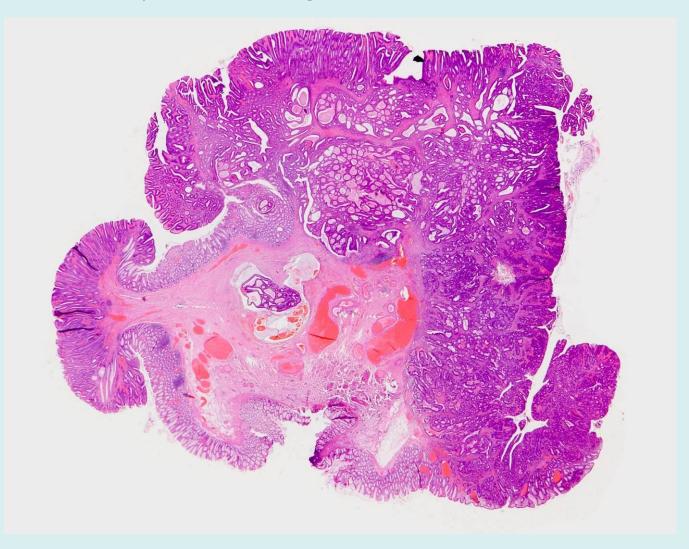






#### Epithelial misplacement vs invasive carcinoma

There is a very important adage in pathology: why make two diagnoses when one will do?

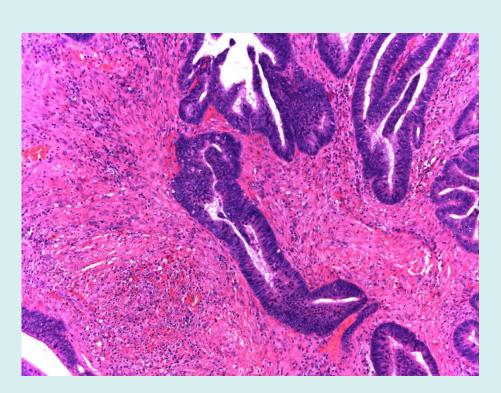


## Differentiating epithelial misplacement from adenocarcinoma

- 87% in SC. Elsewhere if previous instrumentation/surgery. DC & other parts occasionally
- rectum rare unless previous meddling

- isolated glands
- budding
- vascular invasion and/or poor differentiation

- lamina propria accompaniment
- haemosiderin
- mucus lakes
- continuity of epithelium
- similar cytology and architecture
- muscular proliferation and mucosal prolapse changes
- evidence of acute necrosis



#### Pathological conundra in BCSP

- epithelial misplacement mimicking cancer
- 85% in sigmoid colon
- selected into BSCP as these are large prolapsing adenomatous polyps that bleed
- can be very difficult and some almost impossible
- require 'Expert Board' and BCSP-funded research
- but some are more straight forward and yet may be miscalled by pathologists....





#### **BCSP Expert Board**

- three pathologists you need a majority for this highly subjective and difficult assessment
- N A Shepherd, D S A Sanders & M R Novelli
- funded (IT, postage, secretarial support) in England by BCSP (thanks, Julietta)
- opportunity for education and research into difficult EM v Ca cases







Original pathologist(s)	Expert Board	Expert Board	Expert Board
	Pathologist A	Pathologist B	Pathologist C
Mixed	Cancer	Cancer	Cancer
Benign	Benign	Benign	Benign
Cancer	Cancer	Cancer	Cancer
Equivocal	Cancer	Cancer	Cancer
Cancer	Benign	Benign	Benign
Equivocal	Cancer	Cancer	Cancer
Benign	Benign	Benign	Benign
Mixed	Benign	Benign	Benign
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Equivocal	Cancer	Cancer	Cancer
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Equivocal	Cancer	Benign	Cancer
Benign	Benign	Benign	Benign
Cancer	Benign	Equivocal	Equivocal
Cancer	Benign	Benign	Benign
Equivocal	Benign	Benign	Benign
Equivocal	Benign	Equivocal	Benign
Cancer	Benign	Equivocal	Suspicious

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Equivocal	Cancer	Cancer	Cancer
Equivocal	Cancer	Cancer	Cancer
Equivocal	Cancer	Benign	Cancer
Benign	Benign	Benign	Benign
Cancer	Benign	Equivocal	Equivocal
Cancer	Benign	Benign	Benign
Equivocal	Benign	Benign	Benign
Equivocal	Benign	Equivocal	Benign
Cancer	Benign	Equivocal	Suspicious

#### **BCSP Expert Board**

Cases referred to Expert Board	177
Complete agreement between originating pathologist & EB	56
Original diagnosis equivocal but EB diagnosis certain	71
Diametrically opposite diagnosis: originating pathologist & EB	39
Both epithelial misplacement and cancer	7
Too difficult for EB (little or no agreement)	4





## Epithelial misplacement vs carcinoma: a seedbed for research

- an almost unique phenomenon where pathologists get it badly wrong and experts can't agree as to whether it's cancer or not.....
- what to do?
- immunohistochemistry?

Yantiss RK, Bosenberg MW, Antonioli DA, Odze RD. Utility of MMP-1, p53, e-cadherin and collagen IV immunohistochemical stains in the differential diagnosis of adenomas with misplaced epithelium versus adenomas with invasive adenocarcinoma. Am J Surg Pathol 2002; 26: 206-215.

- 3D reconstruction?
- clever spectroscopic analysis?
- optical coherence tomography analysis?





#### Epithelial misplacement vs carcinoma

- immunohistochemistry
- 3D reconstruction
- infra-red spectroscopic analysis

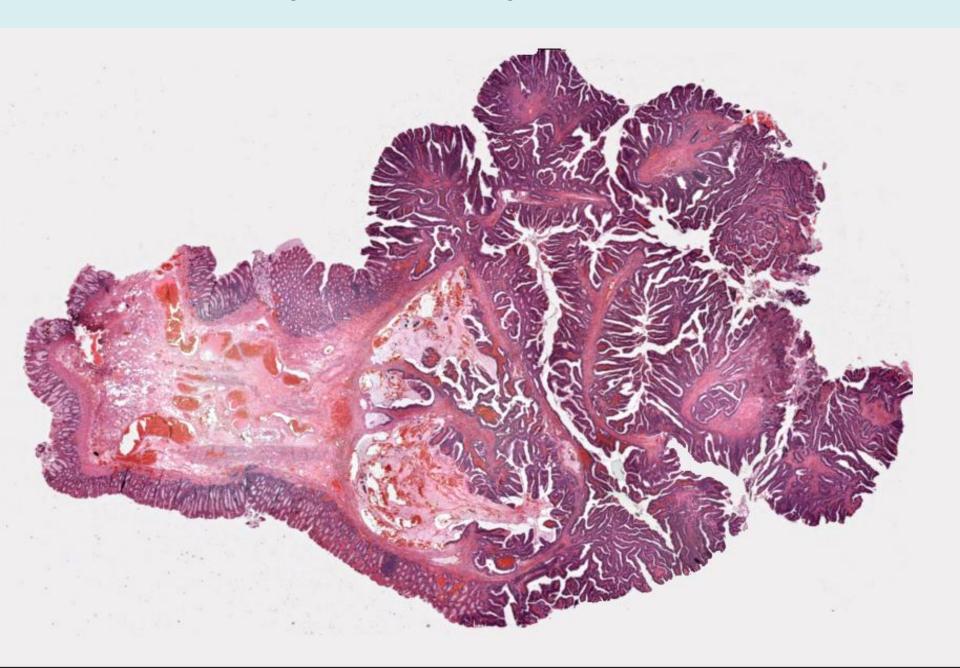
Carey D, Kendall C, Stone N, Barr H, Shepherd NA. Biophotonics Research Unit, Gloucestershire Royal Hospital, Gloucester, UK

With huge thanks to Phil Quirke, Darren Trainor and their colleagues





## **Epithelial misplacement**



### **Epithelial misplacement**



#### Epithelial misplacement vs carcinoma

- immunohistochemistry
- 3D reconstruction
- infra-red spectroscopic analysis

Carey D, Kendall C, Stone N, Barr H, Shepherd NA. Biophotonics Research Unit, Gloucestershire Royal Hospital, Gloucester, UK

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# Epithelial misplacement in sigmoid colonic polyps: a major conundrum in BCSP

- epithelial misplacement mimicking cancer: 85% in sigmoid colon
- selected into BSCP as these are large prolapsing adenomatous polyps that bleed – detected by FOB screening
- can be very difficult and some almost impossible, a phenomenon not really seen before in UK GI pathology
- require 'Expert Board' and BCSP-funded research
- a major source of diagnostic error, especially detected through rigid QA procedures will it be as prevalent or as problematic in FIT screening?
- why has this phenomenon not been seen in other screening programmes?





#### The malignant polyp: pathological considerations

- is it really malignant?
- how common is this problem?
- when should we recommend resection after removal of a malignant polyp?





#### Polyp cancers: what is the size of the problem?

- adenocarcinoma found in 2.6 9.7% (mean 4.7%) of removed adenomatous polyps
- 1-2 per year per DGH in UK (they say!)

Haboubi NY, Scott NA. Colorectal Disease 2000; 2: 2-7.

• In Gloucestershire, 10-20 per year





#### The malignant polyp: pathological considerations

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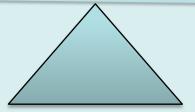




#### Management of polyp cancers

#### Resection

#### No resection



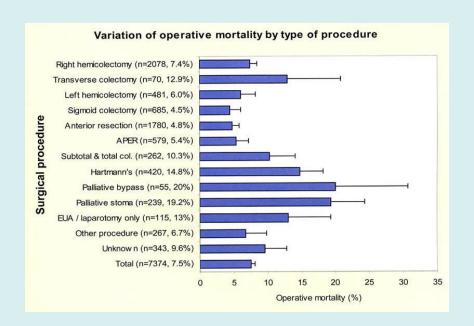
- reduce recurrence risk
  - risk of positive lymph nodes
  - sub stage pT1
  - site rectum > colon
- complications of surgery
  - mortality: surgical team, age, co-morbidity, country
  - morbidity
- quality of life
  - colostomy, anterior resection syndrome

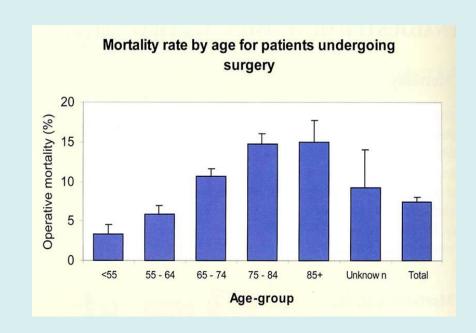




#### Carcinoma in polyps

MDTM assessment of the risk of LN metastasis against the risk of surgery

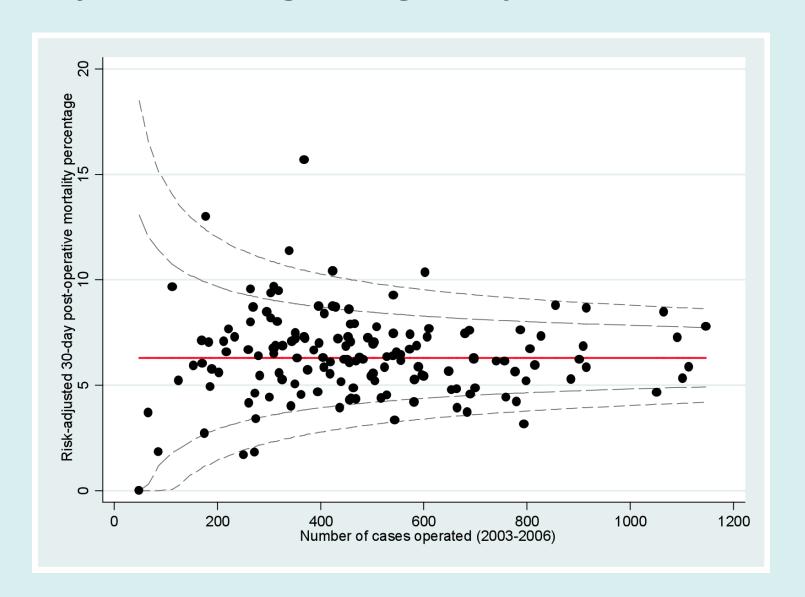








## 30 day post-operative mortality per UK centre adjusted for age, stage, deprivation etc...



# Risk factors for adenomas undergoing malignant change

- size
- villosity
- high grade dysplasia
- site:

right colon 6.4% left colon 8.0% rectum 23.0%

Nusko G et al.

Int J Colorect Dis 1997; 12: 267-271.





# The adenoma harbouring malignancy: the 'big three' criteria

- is it poorly differentiated?
- does it show vascular invasion?
- does it reach the margin? i.e. within 1 mm (or 2mms?)

Cooper et al. Gastroenterology 1995; 108: 1657-65.





# What do we do with the adenoma harbouring malignancy? The big three parameters

we can understand vascular invasion and poor differentiation

what about margin involvement?

many papers have attested (25 versus 5) that this is the most predictive parameter for ADVERSE PROGNOSIS, notwithstanding the lack of logic

Cooper et al, 1995; Geraghty, Williams and Talbot, 1991





First author Year		Number of tumours	Number of adverse outcomes	Features for adverse outcome	
Colacchio	1981	24	6	None	
Lipper	1983	51	2	Margin	
Haggitt	1985	64	8	Level	
Cranley	1986	38	10	Grade, margin, lymphatic invasion	
Vanneste	1986	44	3 Grade, margin, vaso invasion, level		
Richards	1987	80	10	Grade, margin, stalk inva- sion, vascular invasion	
Coverlizza	1989	31	6	Margin, grade, vascular invasion	
Kyzer	1992	44	3	Level	
Minamoto	1993	40	6	Grade, level, lymphatic invasion, growth pattern, adenomatous component	
Kikuchi	1995	182	21	Level, tumour configuration location	
Hase	1995	79	П	Tumour budding, growth pattern grade, level, lym- phatic invasion	
Cooper	1995	140	16	Margin, grade, vascular invasion	
Volk	1995	47	10	Grade, margin	
Whitlow	1997	59	4	Level, margin, grade	
Netzer	1998	70	16	Margin, vascular invasion, grade	
Ueno	2004	292	50	Margin, vascular invasion, grade, tumour budding, depth/width of submucosal invasion	

Geboes K, Ectors N & Geboes KP, 2005

# Diseases of the Colon & Rectum

# Histologic Risk Factors and Clinical Outcome in Colorectal Malignant Polyp: A Pooled-Data Analysis

Cesare Hassan, M.D., Angelo Zullo, M.D., Mauro Risio, M.D., Francesco P. Rossini, M.D., Sergio Morini, M.D.

Dis Colon Rectum 2005; 48: 1588-1596





Table 1.

Relationship Between Histologic Risk Factors and Clinical Outcomes

Risk Factor	Residual Disease	Recurrent Disease	Lymph Node Metastasis	Hematogenous Metastasis	Mortality
Margin of resection					
Positive	55/181 (30.4)a	13/77 (16.8) <sup>a</sup>	13/181 (7.2)	30/325 (9.2)a	26/325 (8) <sup>a</sup>
Negative	4/142 (2.8)	4/357 (1.12)	13/142 (9.2)	8/655 (1.2)	9/655 (1.4)
Odds ratio	15	17.9	0.8	8.2	6.2
95% CI	(5.3-42.7)	(5.7-56.7)	(0.3-1.7)	(3.7-18.2)	(2.9-13.5)
Poor differentiation					
Positive	10/56 (17.8%)	_	13/56 (23.2) <sup>a</sup>	11/14 (9.6) <sup>a</sup>	14/96 (14.6) <sup>a</sup>
Negative	29/324 (9%)	_	23/324 (7.1)	40/1,520 (2.6)	27/1,487 (1.8)
Odds ratio	2.2		3.9	3.9	9.2
95% CI	(1-4.8)		(1.9-8.4)	(2-7.9)	(4.7-18.3)
Vascular Invasion					
Positive	6/34 (17.6%)	_	12/34 (35.3) <sup>a</sup>	13/250 (5.2)	7/210 (3.3)
Negative	17/111 (15.3%)	_	8/111 (7.2)	38/1,279 (3)	28/1,194 (2.3)
Odds ratio	1.2		7	1.8	1.4
95% CI	(0.4-3.3)		(2.6-19.2)	(0.9-3.4)	(0.6-3.3)

CI = confidence interval.

Data are numbers with percentages in parentheses unless otherwise indicated.

 $^{a}P < 0.05$ .





## Vascular invasion in malignant polyps

significant predictor of metastasis

Muller et al. Gut 1989;30:1385-91

81 malignant polyps - 5 year follow up: no prognostic value

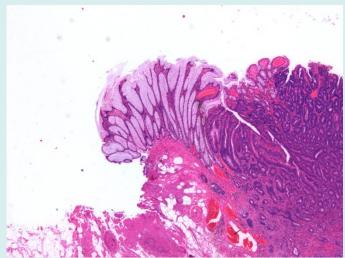
Geraghty, Williams & Talbot. Gut 1991;32:774-8

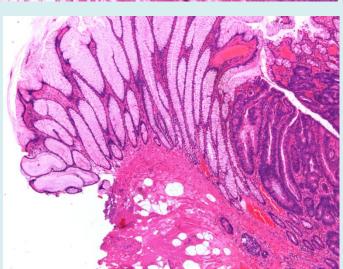




# Margin involvement by cancer in malignant polyps

- commonest adverse prognostic parameter
- commonest isolated adverse prognostic parameter
- definition
- historically the single most important predictor of adverse prognosis but not, apparently, lymph node metastatic disease
- do we really believe that margin involvement should be an indicator for resection if it is not a good predictor of lymph node metastatic disease - in the current day practice of excellent polypectomy??

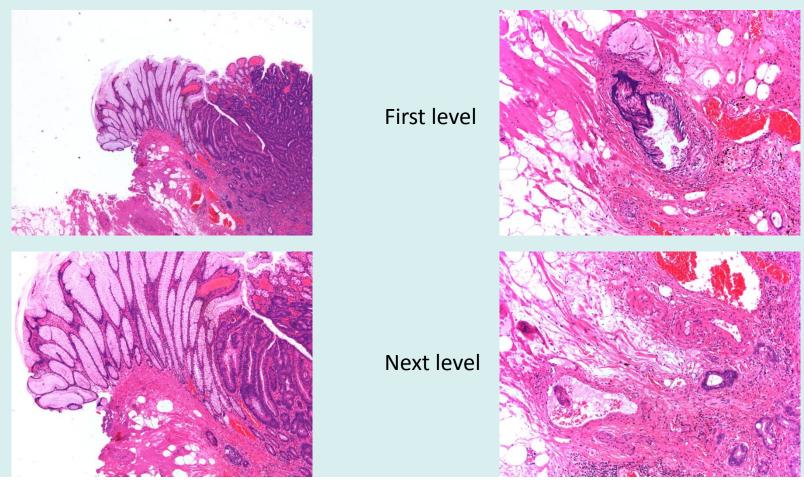








# This week's case......





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# Selecting patients for resection

- a careful balance between risks of metastatic disease & risks of surgery
- happy about poorly differentiated and vascular invasion: difficulty is margin involvement.....
- age and co-morbidity are important
- crucial MDTM discussion





# Site is important for predicting lymph node metastatic disease in polyp/pT1 cancers

## Lymph Node Metastasis in T1 Adenocarcinoma of the Colon and Rectum

Satoshi Okabe, M.D., Jinru Shia, M.D., Garrett Nash, M.D., W. Douglas Wong, M.D., José G. Guillem, M.D., M.P.H., Martin R. Weiser, M.D., Larissa Temple, M.D., Kenichi Sugihara, M.D., Philip B. Paty, M.D.

A TENNESS	Location	<u>TOKYO</u>	NEW YORK	All Cases	
	Right Colon	1 /35 2.9%	2 /57 3.5%	3/92 3.0%*	
	Left Colon	3 /85 3.8%	10 /75 13%	13/160 8.0%**	
	Rectum	13/73 19%	14/103 14%	27/176 15%	
RECTUM	Total	17/193 8.8%	26/235 11%	43/428 10%	
	* P = .003 right colon versus rectum  ** P = .04 left colon versus rectum				

# What are the high risk features?

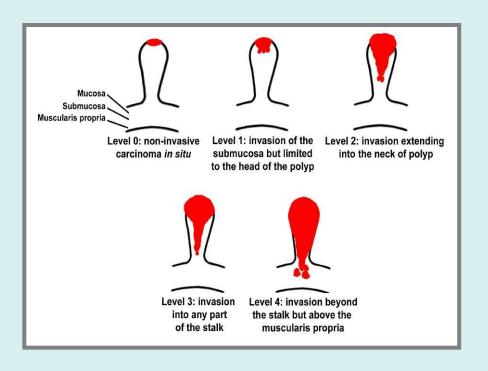
- margin involvement
- poor differentiation
- lymphovascular invasion
- sm3 (Kikuchi)
- Haggitt 4
- sessile lesions: width > 30mm
- others
  - ? tumour budding
  - ? rectum
  - ? depth of spread





## Classification of early colorectal cancer in polyps:

Haggitt et al, 1986



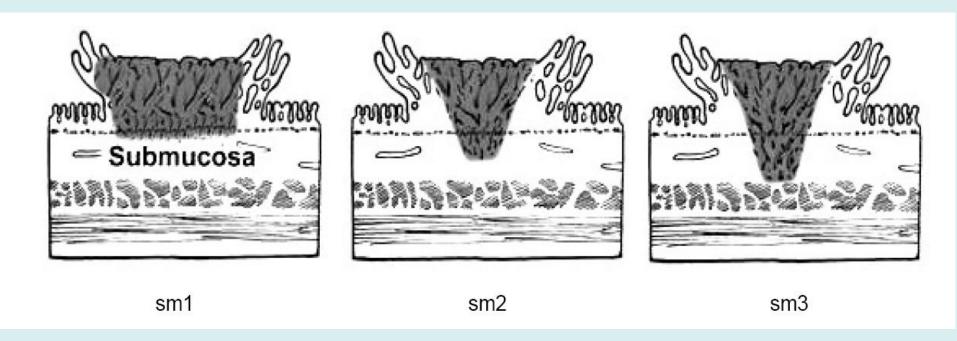






#### Kikuchi levels of submucosal infiltration

Kikuchi et al. Dis Colon Rectum 1995; 38: 1286-90.



## risk of lymph node metastasis

0% (0/64)	5% (4/82)	22% (8/36)	
0-4%	3-10%	10-25%	

# Measuring depth and width of invasion: Japanese methodology

Assessment of depth of invasion (if completely excised)

direct measurement from muscularis mucosae

depth > 2mm

20% node positive (vs. 5%)

width of invasive front > 4mm

20% node positive (vs 4%)

Ueno et al: Gastroenterology 2004; 127: 385-394.





# Importance of depth of invasion

Cancer Science

The official journal of the Japanese Cancer Association



# Risk of lymph node metastasis in patients with pedunculated type early invasive colorectal cancer: A retrospective multicenter study

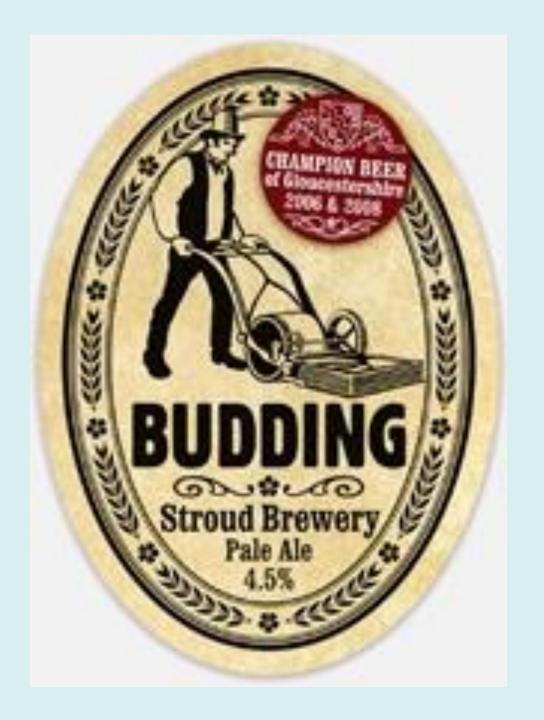
Takahisa Matsuda,<sup>1,11</sup> Masakatsu Fukuzawa,<sup>2</sup> Toshio Uraoka,<sup>3</sup> Masataka Nishi,<sup>2</sup> Yuichiro Yamaguchi,<sup>4</sup> Nozomu Kobayashi,<sup>5</sup> Hiroaki Ikematsu,<sup>6</sup> Yutaka Saito,<sup>1</sup> Takeshi Nakajima,<sup>1</sup> Takahiro Fujii,<sup>7</sup> Yoshitaka Murakami,<sup>8</sup> Tadakazu Shimoda,<sup>9</sup> Ryoji Kushima<sup>9</sup> and Takahiro Fujimori<sup>10</sup>

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(Received November 11, 2010/Revised February 14, 2011; May 20, 2011/Accepted May 25, 2011/Accepted manuscript online May 31, 2011/Article first published online July 21, 2011)

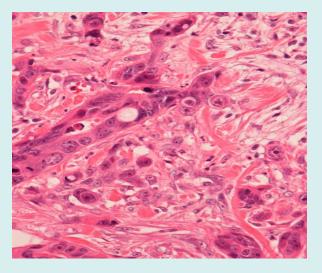


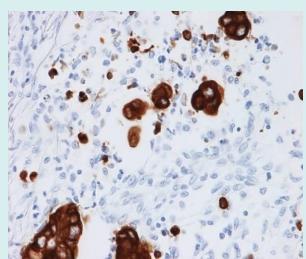




# What about tumour budding?

- detachment of single tumour cells or in small aggregates (< 5 cells) = dedifferentiation
- now known to be adverse prognostic marker
- abnormalities in EMT (epithelial-mesenchymal transition)









# **Budding in colorectal cancer**

**TABLE 3.** Summary of the Published Literature Relating to Tumor Budding as a Prognostic Factor Including Information on: Number of Patients, Stage, and Methodology for Quantifying Tumor Budding

Author	No. Patients	Stages (UICC)	Location	End Point		Methodology of Tumor Budding Assessment
Hase et al <sup>4</sup>	663	I to III	Colorectal	5-y survival	Н&Е	Based on predominant pattern of tumor budding but methods not fully illustrated. BD-1: none/mild; BD-2: moderate/severe
Kanazawa et al <sup>5</sup>	159	II to IV	Colorectal	5-y cancer-related survival and recurrence-free survival	Н&Е	Similar to Hase et al. Entire invasive margin assessed at $400 \times \text{magnification} \dagger$
Nakamura et al <sup>7</sup>	200	II pT3 and T4	Colon	Cumulative 5 and 10-y survival	Н&Е	Similar to Hase et al. <sup>4</sup> Entire invasive margin of the largest cut section of whole tumor was assessed at 200 × and 400 × magnification.†High-grade budding: none/mild; low-grade budding: moderate/severe
Nakamura et al <sup>8</sup>	491	I to III	Colorectal	Occurrence of metachronous metastases	Н&Е	Similar to Hase et al. <sup>4</sup> Section with the largest diameter of colorectal lesion†
Okuyama et al <sup>9</sup>	179*	II and III	Colon	Cumulative 5-y survival	Н&Е	Methods not specified. Budding present or absent at invasive front
Okuyama et al <sup>10</sup>	83	II and III pT3	Rectal	Cumulative 5-y survival	Н&Е	Methods not specified. Budding present or absent at invasive front
Park et al <sup>11</sup>	174	I to IV pT2	Colon	5-y disease-free and overall survival	Н&Е	Tumor bud counting was performed at 20 × objective lens of 3 selected fields with highest budding intensity. Budding intensity was defined as the highest number of tumor buds among these 3 areas
Prall et al <sup>14</sup>	182*	I and II	Colorectal	Occurrence of metachronous metastases	IHC	Tumor bud counting (field of view 0.785 mm² at 250 × magnification). Budhigh: ≥ 25 buds; Budlow: < 25 buds
Tanaka et al <sup>16</sup>	138	II pT3	Colon	Cumulative disease- specific 5-y survival	Н&Е	Similar to Hase et al. <sup>4</sup> One H&E slide with the deepest portion of tumor penetration examined. BD-1: none/mild; BD-2: moderate/severe
Ueno et al <sup>17</sup>	638	I to III	Rectal	Cancer-specific survival	Н&Е	Tumor bud counting of 1 selected field with maximum budding intensity (field of view 0.385 mm² at 25 × objective lens). High-grade budding: ≥ 10; Lowgrade budding: < 10





# Where are we with tumour budding?

independent prognostic significance in polyp cancers

Ueno et al, 2004

independent significance in Dukes B/stage II colon cancers

Wang et al, 2009

less powerful in Dukes C/stage III

issues:

varying methods of assessment

heterogeneity

reproducibility

more data required





# Issues with pathological assessment

margin involvement lacks logic: is evidence good enough?

definitions

poor differentiation less problems but still subjective

& lymphovascular invasion

sm3 (Kikuchi) need muscularis mucosae & propria

only for sessile lesions?

Haggitt 4 sessile v polypoid

subjective

differences in polyp type pedunculated sub-pedunculated

sessile

budding subjective; definitions

measuring: depth, width inter-observer variation

#### RCPath dataset for colorectal cancer local excision

- please use, especially in BCSP
- currently undergoing revision
- 3<sup>rd</sup> edition available June 2013 (eds Loughrey MR, Quirke P, Shepherd NA)
- and we'll correct:

Complete resection at carcinoma at all margins

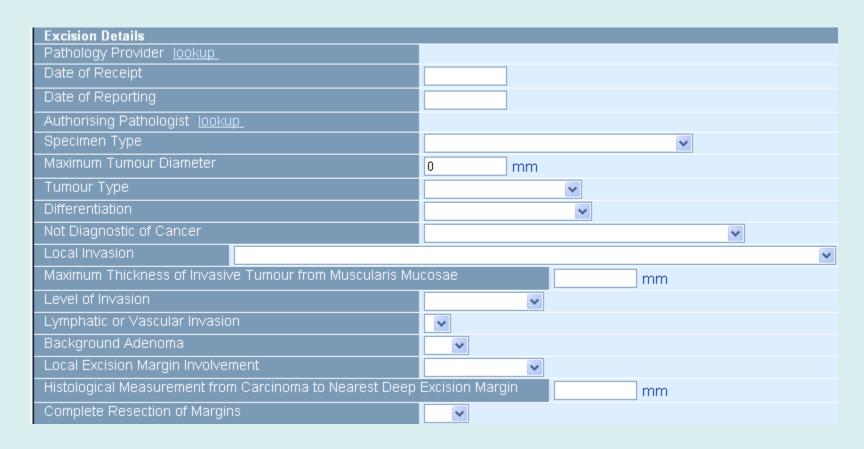
Lymphovascular invasion:

None

**Possible** 

**Definite** 

### **Local excisions on BCSS**







#### The future and the answer



# Cancer Screening Programmes

**Bowel Cancer Screening Programmes** 





# Take home messages

- the introduction of CRC screening drives up overall colorectal pathology reporting quality by introduction of standards, change of practice, external quality review and use of performance indicators and quality measures
- pT1 polyp cancers and their mimics (epithelial misplacement) provide huge consternation for pathologists, clinicians and patients
- bowel screening programmes will, hopefully, give us the answer...
- margin involvement in polyp cancers: definition and implication are the biggest controversies
- malignant polyps were made for MDTM discussion. It's a shame the patient isn't there as well.....